FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

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APPLICANT Berkman, et al.	MAR 0 5 2001		MARIE	ENED
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			U.S. PATENT DOCUMENTS			-0
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
KB	4577272	03/18/1986	Ballew, et al.			
KB	5133053	07/21/1992	Johnson, et al.			·
KB	5696969	12/09/1997	Egolf			
NB	5754856	05/19/1998	Klein			
K.B	5913061	06/15/1999	Gupta, et al.			
KB	5916307	06/29/1999	Piskiel, et al.		•	•
NB	5930503	07/27/1999	Drees			
KB	5960178	09/28/1999	Cochinwala, et al.			
KB	6003083	12/14/1999	Davies, et al.			
KB	6014700	01/11/2000	Bainbridge, et al.		ļ.	

FOREIGN PATENT DOCUMENTS								
EXAMINER	DOCUMENT NUMBER	DATE	DATE COUNTRY	CLASS	SUBCLASS	TRANS	LATION	
INITIAL			·			YES	NO	
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OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)						
Microsoft Windows 2000 Operating System Desktop Management, White Paper, dated 2000						
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DATE CONSIDERED 12/1/2003

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
KB	5,414,812	05/09/95	Filip, et al.			
KB	5,557,798	09/17/96	Skeen, et al.			
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KB	1016989 A2	07/05/00	EP				
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	Linthicum, David, S. "Enterprise Application Integration". Addison-Wesley, Chpt. 1, Defining EAI, pg. 6-17; Chpt. 7, Middleware Models, pg. 132-140

MSO-3346.DOC:afa 082102

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12/1/2003



APPLICATION NO.

09/703,888

U.S. DEPARTMENT OF COMMERCE FORM PTO-1449 PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT
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**APPLICANT** Berkman, et al.

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INITIAL						YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)					
KB	"Transforming Business Data into Business Events," by JP Morgenthal, Published in EAI Journal, November/December 1999					
KB	"Market Segmentation EAI," by Beth Gold-Bernstein, Published in EAI Journal, July/August 1999					
KB	"Workflow and EAI," by John E. Mann, Published in EAI Journal, September/October 1999					
KJB	"An Overview of Message Brokers," by Roy Schulte, Published in Messageq.com, downloaded on November 5, 1999					
<b>K</b> B	"Integrate Business Processes to Develop a Cohesive IT Infrastructure," by Jeremy M. Davis, Published in EAI Journal.com, downloaded on January 5, 2000					

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTY, DOCKET NO. SOFTECP.014A

APPLICATION NO. 09/703,888

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BY APPLICANT

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APPLICANT Berkman, et al.

FILING DATE November 1, 2000 GROUP 2151

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EXAMINER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANS	LATION
INITIAL						YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
KB	"How to Free Your Information: Selecting a Message Broker - Part 1," by David Linthicum, Published in Messageq.com, downloaded on November 5, 1999
K B	"How to Free Your Information: Selecting a Message Broker - Part 2," by David Linthicum, Published in Messageq.com, downloaded on November 5, 1999
KB	"MQSeries Workflow," Published by IBM Corp., February 11, 2000

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